



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|---|--------------------------------|----------------------|-------------------------------|-------------------------|--|
| 09/973,766 | 10/11/2001 | Hisao Ikeda | 214907US0 | 7572 | |
| 22850 | 7590 04/01/2003 | | | | |
| OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. | | | EXAMINER | | |
| | JKE STREET .NDRIA, VA 22314 | | BALASUBRAMANIAN, VENKATARAMAN | | |
| | | | ART UNIT | PAPER NUMBER | |
| | | | 1624 | | |
| | | | | DATE MAILED: 04/01/2003 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | |
|---|--|--|--|--|--|--|
| Advisory Action | 09/973,766 | IKEDA ET AL. | | | | |
| Advisory Action | Examin r | Art Unit | | | | |
| | Venkataraman Balasubramanian | 1624 | | | | |
| The MAILING DATE of this communication appe | ears on the cover shet with the c | orrespondence address | | | | |
| THE REPLY FILED 12 March 2003 FAILS TO PLACE Therefore, further action by the applicant is required to a final rejection under 37 CFR 1.113 may only be either: (1) condition for allowance; (2) a timely filed Notice of Appea Examination (RCE) in compliance with 37 CFR 1.114. | oid abandonment of this application a timely filed amendment which | ation. A proper reply to a n places the application in | | | | |
| PERIOD FOR RE | PLY [check either a) or b)] | | | | | |
| a) The period for reply expires 3 months from the mailing date b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire I ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The fee have been filed is the date for purposes of determining the period of fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of (2) as set forth in (b) above, if checked. Any reply received by the Offictimely filed, may reduce any earned patent term adjustment. See 37 CFR | Advisory Action, or (2) the date set forth ater than SIX MONTHS from the mailing FILED WITHIN TWO MONTHS OF The date on which the petition under 37 CF of extension and the corresponding amount the shortened statutory period for reply ce later than three months after the mai | g date of the final rejection. HE FINAL REJECTION. See MPEP R 1.136(a) and the appropriate extension on the fee. The appropriate extension originally set in the final Office action; or | | | | |
| 1. A Notice of Appeal was filed on Appellant's 37 CFR 1.192(a), or any extension thereof (37 CFR | | | | | | |
| 2. The proposed amendment(s) will not be entered be | ecause: | | | | | |
| (a) ☐ they raise new issues that would require further consideration and/or search (see NOTE below); | | | | | | |
| (b) ☐ they raise the issue of new matter (see Note below); | | | | | | |
| (c) they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or | | | | | | |
| (d) they present additional claims without canceliNOTE: | ng a corresponding number of f | nally rejected claims. | | | | |
| 3. Applicant's reply has overcome the following rejecti | on(s): | | | | | |
| 4. Newly proposed or amended claim(s) would canceling the non-allowable claim(s). | be allowable if submitted in a se | eparate, timely filed amendment | | | | |
| 5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for application in condition for allowance because: see | | dered but does NOT place the | | | | |
| 6. The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection. | | | | | | |
| 7. For purposes of Appeal, the proposed amendment(s) a) will not be entered or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. | | | | | | |
| The status of the claim(s) is (or will be) as follows: | | | | | | |
| Claim(s) allowed: | | | | | | |
| Claim(s) objected to: | | | | | | |
| Claim(s) rejected: | | | | | | |
| Claim(s) withdrawn from consideration: | | | | | | |
| 8. The proposed drawing correction filed on is | a)☐ approved or b)☐ disapp | roved by the Examiner. | | | | |
| 9. Note the attached Information Disclosure Statemer | nt(s)(PTO-1449) | • | | | | |
| 10. Other: | | | | | | |
| | | | | | | |
| | | , | | | | |
| S. Patent and Trademark Office | | | | | | |

Application/Control Number: 09/973,766

Art Unit: 1624

ADVISORY LETTER

The response filed on 3/12/2003 under 37 CFR 1.116 in reply to the final rejection has been considered but is not deemed to place the application in condition for allowance for the following reasons.

Claims 1-2, 4-9, 11-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ikeda et al. US 6,124,454 in view of Tsukamoto et al. US 5,892,065 for reasons of record. As for applicants' traversal the following apply:

- 1. Contrary to applicants' urging that in Ikeda et al. "all tris(2,3,-epoxypropyl)-isocyanurate is crystallized and precipitated in epichlorohydrin as reaction substrate and a solvent" the step B of Ikeda et al clearly teaches removal of epichlorohydrin prior to recrystallization and in combination with the secondary reference which teaches need to remove epichlorohydrin and a process for the same as noted by the applicants, it would be obvious to one trained in the art to adopt the teachings of the combined references.
- 2. As can been seen form the examples of Ikeda et al. teaches a variation in epichlorhydrin content always less than 300 ppm and as low as 130 ppm in the final product. There is a clear-cut teaching that desirability of the reduction of residual level of epichlorohydrin in the final product. The fact that applicants' invention reduced it to 100 ppm is deemed as obvious variant given the combined teachings of the prior art cited. As for applicants' assertion that the examiner has misinterpreted the results of examples shown, applicants should note that it is subsequent to first crystallization, the instant invention further

Application/Control Number: 09/973,766

Art Unit: 1624

reduces the epichorohydrin content and that given the fact the prior art clearly teaches desirability of reduced level of epichlorhydrin in the final product, one trained in the art would be motivated to use the product with atmost 300 ppm epichlorhydrin (such as example 5 with 130 ppm) and reduce it further using the solvent taught by lkeda et al.

- 3. As for the third issue raised by the applicants, again epichlorohydrin level after the first crystallization that the examiner had considered for comparison not the final processing. Hence the remark made by the examiner is proper to that extent.
- 4. As for the comment regarding the secondary reference Tsukamoto et al. applicants remarks in paper # 10 is limited to evaporation technique and examiner's comment is it is relevant to the removal epichorohydrin form epoxy compound.

Hence based on the factual inquiry, the rejection is still deemed as proper and is maintained.

Conclusion

Any inquiry concerning this communication from the examiner should be addressed to Venkataraman Balasubramanian (Bala) whose telephone number is (703) 305-1674. The examiner can normally be reached on Monday through Thursday from 8.00 AM to 6.00 PM. The Supervisory Patent Examiner (SPE) of the art unit 1624 is Mukund Shah whose telephone number is (703) 308-4716.

• v

Application/Control Number: 09/973,766

Art Unit: 1624

The fax phone number for the organization where this application or proceeding is assigned (703) 308-4556.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1235.

V. Balasubramanian

3/26/2003